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# **Entire Functions Sharing a Second order Polynomial with its Derivatives**

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Abstract: We prove a uniqueness theorem for an entire function, which share a function with their first and second order derivatives. We improve some existing results.

**Keywords:** Entire function, Polynomial, Uniqueness

#### 1 Introduction, Definitions and Results

Let f be a non-constant meromorphic function in the open complex plane  ${\sf C}$ . We denote by T(r,f)the Nevanlinna characteristic function of f and by S(r, f) any quantity satisfying  $S(r, f) = o\{T(r, f)\}$ as  $r \to \infty$  except possibly a set of finite linear measure.

Let f and g be two non-constant meromorphic functions and let a be a complex number. We denote by E(a; f) the set of a-points of f, where each point is counted according its multiplicity. We denote by  $\overline{E}(a;f)$  the reduced form of E(a;f). We say that f and g share a CM, provided that E(a;f) = E(a;g), and we say that f and g share a IM, provided that  $\overline{E}(a;f) = \overline{E}(a;g)$ . In addition, we say that f and g share  $\infty$  CM, if  $\frac{1}{f}$  and  $\frac{1}{g}$  share 0 CM, and we say that f and g share  $\infty$  IM, if  $\frac{1}{f}$  and  $\frac{1}{g}$  share 0 IM.

For standard definitions and notations of the value distribution theory we refer the readers to [2]. However we require the following definitions.

**Definition 1.1** A meromorphic function a = a(z) is called a small function of f if T(r, a) = S(r, f).

**Definition 1.2** Let f and g be two non-constant meromorphic functions defined in C. For  $a,b \in \mathbb{C} \cup \{\infty\}$  we denote by  $N(r,a;f \mid g \neq b)(N(r,a;f \mid g \neq b))$  the counting function (reduced counting function) of those a-points of f which are not the b-points of g.

**Definition 1.3** Let f and g be two non-constant meromorphic functions defined in C. For  $a,b \in \mathbb{C} \cup \{\infty\}$  we denote by  $N(r,a;f \mid g=b)(\overline{N}(r,a;f \mid g=b))$  the counting function (reduced counting function) of those a-points of f which are the b-points of g.

In 1977 L.A.Rubel and C.C.Yang [7] first investigated the uniqueness of entire function sharing certain values with their derivatives. They proved the following result.

**Theorem A** [7] Let f be a nonconstant entire function. If  $E(a; f) = E(a; f^{(1)})$  and  $E(b; f) = E(b; f^{(1)})$  for two distinct finite complex numbers a and b then  $f \equiv f^{(1)}$ .

In 1979 E.Mues and N.Steinmetz [6] improved theorem A in the following manner.

**Theorem B** [6] Let a and b be two distinct finite complex numbers and f be a nonconstant entire function. If  $\overline{E}(a; f) = \overline{E}(a; f^{(1)})$  and  $\overline{E}(b; f) = \overline{E}(b; f^{(1)})$ , then  $f \equiv f^{(1)}$ .

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In 1986 Jank, Mues and Volkman [3] considered the problem of sharing a single value by the derivatives of an entire function. Their result may be stated as follows.

**Theorem C** [3] Let f be a non-constant entire function and  $a(\neq 0)$  be a finite complex number. If  $\overline{E}(a; f) = \overline{E}(a; f^{(1)})$  and  $\overline{E}(a; f) \subset \overline{E}(a; f^{(2)})$ , then  $f \equiv f^{(1)}$ .

In 2002 Chang and Fang [1] extended Theorem C and proved the following result.

**Theorem D** [1] Let 
$$f$$
 be a non-constant entire function. If  $\overline{E}(z; f) = \overline{E}(z; f^{(1)})$  and  $\overline{E}(z; f^{(1)}) \subset \overline{E}(z; f^{(2)})$ , then  $f \equiv f^{(1)}$ .

In this paper, we will improve Theorem D by increasing the power of the sharing function z as well as relaxing the condition by considering one sided inclusion  $\overline{E}(a;f) \subset \overline{E}(a;f^{(1)})$  instead of  $\overline{E}(z;f) = \overline{E}(z;f^{(1)})$  in Theorem D.

We give an example below to show that the Theorem 1.1 is not true if we consider  $a(z) = z^2$  that is the Theorem 1.1 is not true for general second degree polynomial a(z). So  $a(z) = z^2 + 1$  is necessary in Theorem 1.1.

**Example 1.1** Let 
$$f(z) = 2z^2 - 4z + 4$$
 and  $a(z) = z^2$ , then  $f(z) - a(z) = z^2 - 4z + 4 = (z - 2)^2$  and  $f^{(1)}(z) - a(z) = 4z - 4 - z^2 = -(z - 2)^2$  and  $f^{(2)}(z) - a(z) = 4 - z^2 = (2 - z)(2 + z)$ , which means  $\overline{E}(a; f) \subset \overline{E}(a; f^{(1)})$  and  $\overline{E}(a; f^{(1)}) \subset \overline{E}(a; f^{(2)})$ , but  $f \neq Aexp\{z\}$  or  $f \neq (z^2 + 1) + (z^2 - 4z + 5)exp\{\frac{z}{2 + Bi}\}$ , where A is a non-zero constant and  $B^2 = 1$ .

We now state the main result of the paper.

**Theorem 1.1** Let f be a non-constant entire function and  $a(z) = z^2 + 1$ . If  $\overline{E}(a; f) \subset \overline{E}(a; f^{(1)})$  and  $\overline{E}(a; f^{(1)}) \subset \overline{E}(a; f^{(2)})$ , then either  $f = Aexp\{z\}$  or  $f = (z^2 + 1) + (z^2 - 4z + 5)exp\{\frac{z}{2 + Bi}\}$  where A is a non-zero constant and  $B^2 = 1$ .

**Corollary 1.1** If in Theorem 1.1 we assume  $\overline{E}(a; f) = \overline{E}(a; f^{(1)})$ , then  $f = Aexp\{z\}$ , where  $A \neq 0$  is a constant.

#### 2 Lemmas

In this section we present a very important lemma which helps us to prove the theorem.

**Lemma 2.1** [4] Let f be a transcendental entire function and  $a=a(z)(\not\equiv 0,\infty)$  be a non-constant small function of f such that  $\overline{E}(a;f)\subset \overline{E}(a;f^{(1)})$  and  $\overline{E}(a;f^{(1)})\subset \overline{E}(a;f^{(2)})$ . Then  $f=Aexp\{z\}$  if and only if  $m(r,\frac{1}{f-a})=S(r,f)$ , where A is a non-zero constant.

### 3 Proof of the theorem

 ${\it Proof\ of\ Thorem\ } \backslash {\it reft1}.$  First we suppose that f is a transcendental entire function. Let

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$$\psi = \frac{(a-a^{(1)})(f^{(2)}-a^{(2)})-(a-a^{(2)})(f^{(1)}-a^{(1)})}{(f-a)} \tag{1}$$

where  $a = z^2 + 1$ .

If  $\psi \equiv 0$ . Then

$$\frac{f^{(2)} - 2}{f^{(1)} - 2z} = \frac{z^2 - 1}{z^2 - 2z + 1}$$

i.e.,

$$\frac{f^{(2)}-2}{f^{(1)}-2z} = 1 + \frac{2z-2}{z^2-2z+1}$$

This gives on integration

$$\log(f^{(1)} - 2z) = z + \log(z^2 - 2z + 1) + \log A \tag{2}$$

i.e.,

$$f^{(1)} = 2z + A(z^2 - 2z + 1)exp\{z\}$$

i.e.,

$$f = z^{2} + A(z^{2} - 4z + 5)exp\{z\} + B,$$
(3)

and

$$f^{(2)} = 2 + A(z^2 - 1)exp\{z\}.$$

Where  $A(\neq 0)$  and B are constants.

Let  $z_0$  be a solution of f(z) - a(z) = 0.

Then

$$f(z_0) - (z_0^2 + 1) = A(z_0^2 - 4z_0 + 5)exp\{z_0\} + B - 1 = 0,$$
(4)

$$f^{(1)}(z_0) - (z_0^2 + 1) = A(z_0^2 - 2z_0 + 1)exp\{z_0\} - (z_0^2 - 2z_0 + 1) = 0,$$
(5)

and

$$f^{(2)}(z_0) - (z_0^2 + 1) = A(z_0^2 - 1)exp\{z_0\} + (1 - z_0^2) = 0,$$
(6)

From (5) we get

$$(z_0^2 - 2z_0 + 1)(Aexp\{z_0\} - 1) = 0$$

i.e.,  $Aexp\{z_0\} = 1$  or  $z_0 = 1$ .

If  $z_0 = 1$  then the equation (2) does not exist, so  $z_0 \neq 1$ .

If  $Aexp\{z_0\} = 1$  then from the equation (4) we get,

$$z_0^2 - 4z_0 + 4 + B = 0$$

i.e.,  $z_0=2\pm\sqrt{B}i$ . That is  $f(z)-(z^2+1)=0$  has two solutions  $z_0=2\pm\sqrt{B}i$ . Also from (3)  $f(z)-(z^2+B)=0$  implies  $Aexp\{z\}(z^2-4z+5)=0$ , since  $Aexp\{z\}\neq 0$  then  $z=2\pm i$ . Hence  $f(z)-(z^2+B)=0$  has two solutions  $z=2\pm i$ . We conclude that  $\sqrt{B}=\pm 1$  i.e., B=1 and  $A=exp\{\frac{1}{2\pm i}\}$ .

Putting the value of A and B in (3) we get,

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$$f(z) = z^{2} + 1 + (z^{2} - 4z + 5)exp\{\frac{z}{2+i}\}.$$

Now we suppose that  $\psi \neq 0$ . Then

$$f - a = \frac{1}{W} [(a - a^{(1)})(f^{(2)} - a^{(2)}) - (a - a^{(2)})(f^{(1)} - a^{(1)})]$$
 (7)

where  $a = z^2 + 1$ .

And so

$$[1+(\frac{1}{\psi})'(a-a^{(2)})+\frac{a^{(1)}}{\psi}](f^{(1)}-a) \equiv (a^{(1)}-a)[1+(\frac{1}{\psi})'(a-a^{(1)})+\frac{2}{\psi}(a^{(1)}-a^{(2)})]$$

$$+(a^{(1)}-a)[\frac{1}{\psi}-(\frac{1}{\psi})'](f^{(2)}-a^{(1)})-(a^{(1)}-a)\frac{f^{(3)}-a^{(2)}}{\psi}$$
(8)

Let

$$\Delta = 1 + (\frac{1}{\psi})'(a - a^{(1)}) + \frac{2}{\psi}(a^{(1)} - a^{(2)}) \equiv 0$$

i.e.,

$$1 + (\frac{1}{\psi})'(z^2 - 2z + 1) + \frac{2}{\psi}(2z - 2) \equiv 0$$
(9)

i.e.,

$$\psi^2 + 4(z-1)\psi \equiv \psi'(z^2 - 2z + 1) \tag{10}$$

We claim that  $\psi$  is not transcendental.

Indeed, if  $\psi$  is transcendental, then from (10) we get

$$T(r,\psi) = m(r,\psi) + N(r,\psi)$$

$$\leq m(r,\frac{\psi}{\psi}) + O(\log r)$$

$$= S(r,\psi).$$

Thus we get a contradiction:  $T(r, \psi) = S(r, \psi)$ .

Hence  $\psi$  is a rational function. Solving the differential equation (10) we get,

$$\psi = \frac{-3(z-1)^4}{(z-1)^3 + 3k} = az + b(say) \text{ where } a(\neq 0) \text{ ,b and k are constants.}$$

Put  $\psi = az + b$  in (10) and equating the coefficients of  $z^2$ , z and constant term both the sides we get,  $a^2 + 4a = a$  i.e., a = 0 or a = -3 but  $a \neq 0$  so a = -3 and b = 3. Hence  $\psi = -3(z - 1)$ .

If we put  $\psi = -3(z-1)$  in (1) we get,

$$-3(z-1)(f-z^2-1) = (z^2-2z+1)(f^{(2)}-2) - (z^2-1)(f^{(1)}-2z)$$

i.e.,

$$(z-1)\{(z-1)(f^{(2)}-2)-(z+1)(f^{(1)}-2z)+3(f-z^2-1)\}=0$$

i.e.,

$$z = 1 \text{ or } (z-1)(f^{(2)}-2) - (z+1)(f^{(1)}-2z) + 3(f-z^2-1) = 0$$
(11)

If z = 1 then from (9) we get  $1 \equiv 0$ , which is a contradiction.

Now differentiating thrice of the equation (11) we get

$$\frac{f^{(5)}}{f^{(4)}} = \frac{z-2}{z-1} = 1 - \frac{1}{z-1}$$

On integration we obtain

$$f^{(4)} = \frac{c.exp\{z\}}{z-1},$$

where  $c \neq 0$  is a constant. This is not possible because f is an entire function.

Therefore  $\Delta \neq 0$  and so from (??) we obtain

$$\frac{1}{f^{(1)} - a} = \frac{1 + (\frac{1}{\psi})'(a - a^{(2)}) + \frac{a^{(1)}}{\psi}}{(a^{(1)} - a)\Delta} - \frac{(\frac{1}{\psi} - (\frac{1}{\psi})')(f^{(2)} - a^{(1)})}{\Delta(f^{(1)} - a)} + \frac{1}{\Delta\psi} \cdot \frac{f^{(3)} - a^{(2)}}{f^{(1)} - a}.$$

Hence

$$m(r, \frac{1}{f^{(1)} - a}) = S(r, f)$$
 (12)

because  $T(r, \psi) = S(r, f)$  and f is transcendental.

By the hypotheses we see that z = 1 and -1 are only the possible multiple zero of  $f^{(1)} - a$ . So,

$$N(r,a;f^{(1)} | f \neq a) \leq N(r,0;\psi) + O(\log r) = S(r,f).$$

Also since  $\overline{E}(a; f) \subset \overline{E}(a; f^{(1)})$  then

$$N(r,a;f^{(1)}) = N(r,a;f) + N(r,a;f^{(1)} | f \neq a) + O(\log r) = N(r,a;f) + S(r,f).$$
(13)

From (7) we get,

$$f = a + \frac{f^{(1)} - a^{(1)}}{\psi} [(a - a^{(1)}) \cdot \frac{f^{(2)} - a^{(2)}}{f^{(1)} - a^{(1)}} - (a - a^{(2)})]$$

Hence,

$$m(r, f) \le m(r, f^{(1)} - a^{(1)}) + S(r, f)$$
  

$$\le m(r, f^{(1)}) + S(r, f)$$
  

$$= T(r, f^{(1)}) + S(r, f).$$

Since f is an entire function we get,

$$T(r,f) = m(r,f) \le T(r,f^{(1)}) + S(r,f)$$
(14)

Also,

$$T(r, f^{(1)}) = m(r, f^{(1)}) \le m(r, f) + m(r, \frac{f^{(1)}}{f}) = T(r, f) + S(r, f)$$
(15)

Therefore

$$T(r, f) = T(r, f^{(1)}) + S(r, f).$$
 (16)

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From (12),(13) and (16) we get

$$m(r, \frac{1}{f-a}) = T(r, f) - N(r, \frac{1}{f-a}) + S(r, f)$$

$$= T(r, f^{(1)}) - N(r, \frac{1}{f-a}) + S(r, f)$$

$$= N(r, \frac{1}{f^{(1)}-a}) - N(r, \frac{1}{f-a}) + S(r, f)$$

$$= S(r, f).$$

Therefore by Lemma 2.1 we get  $f = Aexp\{z\}$ .

Now we prove that f can not be a polynomial. We suppose that f is a polynomial and consider the following cases.

Case 1. Let f = Az + B, where  $A(\neq 0)$  and B are constants, and  $a(z) = z^2 + 1$  then if  $z_0$  is a root of  $f(z)-(z^2+1)=0$ , then by hypotheses  $z_0$  is also a root of  $f^{(1)}(z)-(z^2+1)=0$  and  $f^{(2)}(z)-(z^2+1)=0$ . Hence  $A-(z_0^2+1)=0$  and  $0-(z_0^2+1)=0$  i.e.,  $A=z_0^2+1=0$ , which is a

Case 2. Let  $f = Az^2 + Bz + C$ , where  $A(\neq 0)$ , B and C are constants. If f(z) - a(z) = 0 has two distinct roots  $z_1$  and  $z_2$ , then by hypotheses  $z_1$  and  $z_2$  are also roots of  $f^{(1)}(z) - (z^2 + 1) = 0$  and  $f^{(2)}(z) - (z^2 + 1) = 0$ . That is  $z_1$  and  $z_2$  are roots of  $2Az + B - (z^2 + 1) = 0$  and so  $z_1 + z_2 = 2A$ . Also  $z_1$  and  $z_2$  are roots of  $2A-(z^2+1)=0$  and so  $z_1+z_2=0$ . Hence 2A=0 i.e., A=0, a contradiction.

So f(z) - a(z) = 0 has only one double root  $z_0$ . Then by hypotheses  $z_0$  is also a root of  $f^{(1)}(z) - a(z) = 0$  and  $f^{(2)}(z) - a(z) = 0$ . So,

$$Az_0^2 + Bz_0 + C - z_0^2 - 1 = 0 (17)$$

$$2Az_0 + B - z_0^2 - 1 = 0 ag{18}$$

$$2Az_0 + B - 2z_0 = 0 (19)$$

$$2A - z_0^2 - 1 = 0 (20)$$

Solving these four equations we obtain A = 1, B = 0 and C = 1. So,  $f(z) = z^2 + 1$ i.e.,  $f(z) \equiv a(z)$ . Since  $\overline{E}(a; f) \subset \overline{E}(a; f^{(1)})$ , we arrive at a contradiction.

Case 3. Let f be a polynomial of degree 3. Suppose  $f = Az^3 + Bz^2 + Cz + D$ , where  $A(\neq 0)$ , B,C and D are constants.

**Subcase 3.1.** First we suppose that f(z) - a(z) = 0 has three distinct roots. Since  $\overline{E}(a;f) \subset \overline{E}(a;f^{(1)})$  so these three roots are also the roots of the equation  $f^{(1)}(z) - a(z) = 0$  i.e., of the  $3Az^2 + 2Bz + C - (z^2 + 1) = 0$ , which is possible when  $f^{(1)}(z) \equiv a(z)$ . Since  $\overline{E}(a, f^{(1)}) \subset \overline{E}(a, f^{(2)})$ , we arrive at a contradiction.

**Subcase 3.2.** Now we suppose that f(z) - a(z) = 0 has one double root and one simple root. Let  $z_1$  be a double root and  $z_2$  be a simple root of the equation f(z) - a(z) = 0. Then by hypotheses,

$$Az_1^3 + Bz_1^2 + Cz_1 + D - z_1^2 - 1 = 0 (21)$$

$$3Az_1^2 + 2Bz_1 + C - z_1^2 - 1 = 0 (22)$$

$$6Az_1 + 2B - z_1^2 - 1 = 0 (23)$$

$$3Az_1^2 + 2Bz_1 + C - 2z_1 = 0 (24)$$

Solving these four equations we obtain, B=1-3A, C=3A and D=1-A. And so  $f(z)=A(z-1)^3+(z^2+1)$ . Hence the equation  $f(z)-a(z)=A(z-1)^3$  has only one root of multiplicity three which contradicts our assumption that f(z)-a(z)=0 has one double root and one simple root.

**Subcase 3.3.** Now we suppose that f(z) - a(z) = 0 has only one root of multiplicity three. Let  $z_1$  be the root of multiplicity three of the equation f(z) - a(z) = 0. Then by hypotheses, we obtain the equations (21)-(24) and the equation

$$6Az_1 + 2B - 2 = 0 (25)$$

Solving the equations (21)-(24) we obtain,  $f(z) - a(z) = A(z-1)^3$ . But from (23) and (25) we get  $z_1^2 - 1 = 0$  i.e.,  $z_1 = 1$  and -1, so -1 also a root of the equation  $f^{(2)}(z) - a(z) = 0$  i.e., of the equation  $6A(z-1) + 2 - z^2 - 1 = 0$ , if we put z = -1 of this equation we get A = 0, which is a contradiction.

**Case 4.** Let f be a polynomial of degree  $d(\ge 4)$ . If  $z_1, z_2, ..., z_n$  are the roots of the equation f(z) - a(z) = 0. Then we have

$$f(z) = (z^{2} + 1) + A(z - z_{1})^{\alpha_{1}} (z - z_{2})^{\alpha_{2}} ... (z - z_{n})^{\alpha_{n}}$$
(26)

$$f^{(1)}(z) = (z^2 + 1) + B(z - z_1)^{\beta_1} (z - z_2)^{\beta_2} ... (z - z_n)^{\beta_n} P(z)$$
(27)

$$f^{(2)}(z) = (z^2 + 1) + C(z - z_1)^{\gamma_1} (z - z_2)^{\gamma_2} ... (z - z_n)^{\gamma_n} P(z) Q(z)$$
(28)

where P(z), Q(z) are polynomials and A,B,C are three non-zero constant, and  $\{\alpha_j\}$ ,  $\{\beta_j\}$ ,  $\{\gamma_j\}$  (j=1,2,...n) are positive integers satisfying

$$\alpha_1 + \alpha_2 + ... + \alpha_n = d, \beta_1 + \beta_2 + ... + \beta_n + degP = d - 1,$$
  
 $and \ \gamma_1 + \gamma_2 + ... + \gamma_n + degP + degQ = d - 2.$  (29)

Differentiating equation (26) and equate with (27) we get,

$$2z + A \sum_{i=1}^{n} \alpha_i (z - z_i)^{\alpha_i - 1} \prod_{j \neq i} (z - z_j)^{\alpha_j} \equiv (z^2 + 1) + B \prod_{j=i}^{n} (z - z_j)^{\beta_j} P(z)$$
(30)

If  $\alpha_j \ge 2$ . Then by (30) we get  $z_j = 1$ . With out loss of generality, we assume that j = 1. Then by (26),(27) and (??) we obtain,

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$$f(z) = (z^{2} + 1) + A(z - 1)^{\alpha_{1}}(z - z_{2})...(z - z_{n})$$
(31)

$$f^{(1)}(z) = (z^2 + 1) + B(z - 1)^{\alpha_1 - 1}(z - z_2)...(z - z_n)$$
(32)

Differentiating twice of the equation (26) and equating with the equation (28) we get,

$$2 + A \sum_{i=1}^{n} \alpha_{i} (\alpha_{i} - 1)(z - z_{i})^{\alpha_{i} - 2} \prod_{j \neq i} (z - z_{j})^{\alpha_{j}} + 2A \sum_{i,j=1}^{n} \alpha_{i} \alpha_{j} (z - z_{i})^{\alpha_{i} - 1} (z - z_{j})^{\alpha_{j} - 1}$$

$$\prod_{k \neq i,j} (z - z_k)^{\alpha_k} = (z^2 + 1) + C \prod_{i=1}^n (z - z_i)^{\gamma_i} P(z) Q(z)$$
(33)

If any  $\alpha_i \geq 3$  then from (??) we obtain,  $2=z_i^2+1$  i.e.,  $z_i=1$  and -1. With out loss of generality we put  $z_1=-1$  and  $\alpha_1 \geq 3$ . Then -1 is a root of  $f(z)-(z^2+1)=0$  but  $f^{(1)}(-1)=-2$  i.e., -1 is not a root of the equation  $f^{(1)}(z)-(z^2+1)=0$ . Thus we see that  $-1 \in \overline{E}(a,f)$  but  $-1 \notin \overline{E}(a,f^{(1)})$  which contradicts the hypothesis  $\overline{E}(a;f) \subset \overline{E}(a;f^{(1)})$ . Thus any  $\alpha_i$  not greater or equal to 3. Thus  $\alpha_1=2$ .

Hence by (32) we get

$$f^{(1)}(z) = (z^2 + 1) + B(z - 1)(z - z_2)...(z - z_n)$$

and

$$f^{(2)}(z) = (z^2 + 1) + C(z - 1)(z - z_2)...(z - z_n)$$

Thus we arrive at a contradiction:  $degf^{(1)} = degf^{(2)}$ . This proves the theorem.

Proof of Corollary 1.1.. If

$$f = (z^2 + 1) + (z^2 - 4z + 5)exp\{\frac{z}{2 + Bi}\},$$
 where  $B^2 = 1$ . Then

$$f^{(1)} = 2z + (2z - 4)exp\{\frac{z}{2 + Bi}\} + \frac{(z^2 - 4z + 5)}{2 + Bi}exp\{\frac{z}{2 + Bi}\} \text{ we clearly see that } \overline{E}(a; f) \text{ contains}$$

only two points but  $\overline{E}(a;f^{(1)})$  contains infinitely many points. This is a contradiction of the hypothesis  $\overline{E}(a;f)=\overline{E}(a;f^{(1)})$ . Hence by Theorem 1.1 we get  $f=Aexp\{z\}$ .

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